

HOL-CSP Version 2.0

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1 Hoare/Roscoe's Denotational Semantics for CSP The Notion of Processes

```
theory Process
imports HOLCF
begin
```

```
ML⟨⟨ quick-and-dirty:=true ⟩⟩
```

This is a formalization in Isabelle/HOL of the work of Hoare and Roscoe on the denotational semantics of the Failure/Divergence Model of CSP. It follows essentially the presentation of CSP in Roscoe's Book [1], and the semantic details in a joint Paper of Roscoe and Brooks "An improved failures model for communicating processes", in Proceedings of the Pittsburgh seminar on concurrency, Springer LNCS 197 (1985), 281-305. This work revealed minor, but omnipresent foundational errors in key concepts like the process invariant that were revealed by a first formalization in Isabelle/HOL, called HOL-CSP 1.0 [2].

In contrast to HOL-CSP 1.0, which came with an own fixpoint theory partly inspired by previous work of Franz Regensburger and developed by myself, it is the goal of this redesign of the HOL-CSP theory to reuse the HOLCF theory that emerged from Franz's work. Thus, the footprint of this theory should be reduced drastically. Moreover, all proofs have been heavily revised or re-constructed to reflect the drastically improved state of the art of interactive theory development with Isabelle.

The following merely technical command has the purpose to undo a default setting of HOLCF.

```
defaultsort type
```

1.1 Pre-Requisite: Basic Traces and tick-Freeness

The denotational semantics of CSP assumes a distinguishable special event, called `tick` and written `?`, that is required to occur only in the end in order to signalize successful termination of a process. (In the original text of Hoare, this treatment was more liberal and lead to foundational problems: the process invariant could not be established for the sequential composition operator of CSP; see [2] for details.)

```
datatype 'α event = ev 'α | tick
```

types $'\alpha \text{ trace} = (' \alpha \text{ event}) \text{ list}$

We chose as standard ordering on traces the prefix ordering.

instantiation $\text{list} :: (\text{type}) \text{ order}$
begin

definition $\text{le-list-def} : s \leq t \longleftrightarrow (\exists r. s @ r = t)$

definition $\text{less-list-def} : (s :: 'a \text{ list}) < t \longleftrightarrow s \leq t \wedge s \neq t$

instance

proof

```

  fix x y :: 'α list
  show (x < y) = (x ≤ y ∧ ¬ y ≤ x) by(auto simp: le-list-def less-list-def)
next
  fix x :: 'α list
  show x ≤ x by(simp add: le-list-def)
next
  fix x y z :: 'α list
  assume A: x ≤ y and B: y ≤ z thus x ≤ z
  apply(insert A B, simp add: le-list-def, safe)
  apply(rule-tac x=r@ra in exI, simp)
  done
next
  fix x y :: 'α list
  assume A: x ≤ y and B: y ≤ x thus x = y
  by(insert A B, auto simp: le-list-def)
qed

end

```

Some facts on the prefix ordering.

lemma $\text{nil-le}[simp]: [] \leq s$
by(induct s, simp-all, auto simp: le-list-def)

lemma $\text{nil-le2}[simp]: s \leq [] = (s = [])$
by(induct s, auto simp: le-list-def)

lemma $\text{nil-less}[simp]: \neg t < []$
by(simp add: less-list-def)

lemma $\text{nil-less2}[simp]: [] < t @ [a]$
by(simp add: less-list-def)

lemma $\text{less-self}[simp]: t < t @ [a]$
by(simp add: less-list-def le-list-def)

For the process invariant, it is a key element to reduce the notion of traces to

traces that may only contain one tick event at the very end. This is captured by the definition of the predicate `front_tickFree` and its stronger version `tickFree`. Here is the theory of this concept.

constdefs

```

tickFree      :: 'α trace ⇒ bool
tickFree s    ≡ ¬ tick mem s
front_tickFree :: 'α trace ⇒ bool
front_tickFree s ≡ (s = [] ∨ tickFree (tl (rev s)))

```

lemma *tickFree-Nil* [simp]: *tickFree []*
by (simp add: *tickFree-def*)

lemma *tickFree-Cons* [simp]: *tickFree (a # t) = (a ≠ tick ∧ tickFree t)*
by (subst *HOL.neq-commute*, simp add: *tickFree-def*)

lemma *tickFree-append* [simp]: *tickFree (s@t) = (tickFree s ∧ tickFree t)*
by (simp add: *tickFree-def mem-iff*)

lemma *non-tickFree-tick* [simp]: *¬ tickFree [tick]*
by (simp add: *tickFree-def*)

lemma *non-tickFree-implies-nonMt*: *¬ tickFree s ⇒ s ≠ []*
by (simp add: *tickFree-def,erule rev-mp,induct s,simp-all*)

lemma *tickFree-rev* : *tickFree (rev t) = (tickFree t)*
by (simp add: *tickFree-def mem-iff*)

lemma *front_tickFree-Nil* [simp]: *front_tickFree []*
by (simp add: *front_tickFree-def*)

lemma *front_tickFree-single* [simp]: *front_tickFree [a]*
by (simp add: *front_tickFree-def*)

lemma *tickFree-implies-front_tickFree*:
tickFree s ⇒ front_tickFree s
apply (simp add: *tickFree-def front_tickFree-def mem-iff,safe*)
apply (erule *contrapos-mp*, simp, (erule *rev-mp*) +)
apply (rule-tac *xs=s* in *List.rev-induct,simp-all*)
done

lemma *list-nonMt-append*:
s ≠ [] ⇒ ∃ a t. s = t @ [a]
by (erule *rev-mp,induct s,simp-all,case-tac s = [],auto*)

lemma *front_tickFree-charn*:
front_tickFree s = (s = [] ∨ (∃ a t. s = t @ [a] ∧ tickFree t))
apply (simp add: *front_tickFree-def*)

```

apply(cases s=[], simp-all)
apply(drule list-nonMt-append, auto simp: tickFree-rev)
done

```

```

lemma front-tickFree-implies-tickFree:
front-tickFree (t @ [a])  $\implies$  tickFree t
by(simp add: tickFree-def front-tickFree-def mem-iff)

```

```

lemma tickFree-implies-front-tickFree-single:
tickFree t  $\implies$  front-tickFree (t @ [a])
by(simp add: front-tickFree-chn)

```

```

lemma nonTickFree-n-frontTickFree:
 $\llbracket \neg \text{tickFree } s; \text{front-tickFree } s \rrbracket \implies \exists t. s = t @ [\text{tick}]$ 
apply(frule non-tickFree-implies-nonMt)
apply(drule front-tickFree-chn[THEN iffD1], auto)
done

```

```

lemma front-tickFree-dw-closed :
front-tickFree (s @ t)  $\implies$  front-tickFree s
apply(erule rev-mp, rule-tac x= s in spec)
apply(rule-tac xs=t in List.rev-induct, simp, safe)
apply(simp only: append-assoc[symmetric])
apply(erule-tac x=xa @ xs in all-dupE)
apply(drule front-tickFree-implies-tickFree)
apply(erule-tac x=xa in allE, auto)
apply(auto dest!: tickFree-implies-front-tickFree)
done

```

```

lemma front-tickFree-append:
 $\llbracket \text{tickFree } s; \text{front-tickFree } t \rrbracket \implies \text{front-tickFree } (s @ t)$ 
apply(drule front-tickFree-chn[THEN iffD1], auto)
apply(erule tickFree-implies-front-tickFree)
apply(subst append-assoc[symmetric])
apply(rule tickFree-implies-front-tickFree-single)
apply(auto intro: tickFree-append)
done

```

1.2 Basic Types, Traces, Failures and Divergences

types

$$\begin{aligned}
{}^{\alpha}\text{ refusal} &= ({}^{\alpha}\text{ event}) \text{ set} \\
{}^{\alpha}\text{ failure} &= {}^{\alpha}\text{ trace} \times {}^{\alpha}\text{ refusal} \\
{}^{\alpha}\text{ divergence} &= {}^{\alpha}\text{ trace set} \\
{}^{\alpha}\text{ process-pre} &= {}^{\alpha}\text{ failure set} \times {}^{\alpha}\text{ divergence}
\end{aligned}$$

constdefs

$$\text{FAILURES} :: {}^{\alpha}\text{ process-pre} \Rightarrow ({}^{\alpha}\text{ failure set})$$

$$FAILURES P \equiv fst P$$

$$\begin{aligned} TRACES &:: 'a \text{ process-pre} \Rightarrow ('a \text{ trace set}) \\ TRACES P &\equiv \{tr. \exists a. a \in FAILURES P \wedge tr = fst a\} \end{aligned}$$

$$\begin{aligned} DIVERGENCES &:: 'a \text{ process-pre} \Rightarrow 'a \text{ divergence} \\ DIVERGENCES P &\equiv snd P \end{aligned}$$

$$\begin{aligned} REFUSALS &:: 'a \text{ process-pre} \Rightarrow ('a \text{ refusal set}) \\ REFUSALS P &\equiv \{ref. \exists F. F \in FAILURES P \wedge F = ([], ref)\} \end{aligned}$$

1.3 The Process Type Invariant

constdefs

$$\begin{aligned} is-process &:: 'a \text{ process-pre} \Rightarrow bool \\ is-process P &\equiv \\ &([\], \{ \}) \in FAILURES P \wedge \\ &(\forall s X. (s, X) \in FAILURES P \longrightarrow front-tickFree s) \wedge \\ &(\forall s t. (s @ t, \{ \}) \in FAILURES P \longrightarrow (s, \{ \}) \in FAILURES P) \wedge \\ &(\forall s X Y. (s, Y) \in FAILURES P \ \& \ X \leq Y \longrightarrow (s, X) \in FAILURES P) \\ \wedge \\ &(\forall s X Y. (s, X) \in FAILURES P \wedge \\ &(\forall c. c \in Y \longrightarrow ((s @ [c], \{ \}) \notin FAILURES P)) \longrightarrow \\ &\quad (s, X \cup Y) \in FAILURES P) \wedge \\ &(\forall s X. (s @ [tick], \{ \}) : FAILURES P \longrightarrow (s, X - \{tick\}) \in FAILURES P) \wedge \\ &(\forall s t. s \in DIVERGENCES P \wedge tickFree s \wedge front-tickFree t \\ &\quad \longrightarrow s @ t \in DIVERGENCES P) \wedge \\ &(\forall s X. s \in DIVERGENCES P \longrightarrow (s, X) \in FAILURES P) \wedge \\ &(\forall s. s @ [tick] : DIVERGENCES P \longrightarrow s \in DIVERGENCES P) \end{aligned}$$

lemma *is-process-spec*:

$$\begin{aligned} is-process P &= \\ &([\], \{ \}) \in FAILURES P \wedge \\ &(\forall s X. (s, X) \in FAILURES P \longrightarrow front-tickFree s) \wedge \\ &(\forall s t. (s @ t, \{ \}) \notin FAILURES P \vee (s, \{ \}) \in FAILURES P) \wedge \\ &(\forall s X Y. (s, Y) \notin FAILURES P \vee \neg(X \subseteq Y) \mid (s, X) \in FAILURES P) \wedge \\ &(\forall s X Y. (s, X) \in FAILURES P \wedge \\ &(\forall c. c \in Y \longrightarrow ((s @ [c], \{ \}) \notin FAILURES P)) \longrightarrow (s, X \cup Y) \in FAILURES \\ &P) \wedge \\ &(\forall s X. (s @ [tick], \{ \}) \in FAILURES P \longrightarrow (s, X - \{tick\}) \in FAILURES \\ &P) \wedge \\ &(\forall s t. s \notin DIVERGENCES P \vee \neg tickFree s \vee \neg front-tickFree t \\ &\quad \vee s @ t \in DIVERGENCES P) \wedge \\ &(\forall s X. s \notin DIVERGENCES P \vee (s, X) \in FAILURES P) \wedge \\ &(\forall s. s @ [tick] \notin DIVERGENCES P \vee s \in DIVERGENCES P)) \\ \text{by} &(\text{simp only: is-process-def HOL.nnf-simps(1) HOL.nnf-simps(3) [symmetric]} \end{aligned}$$

HOL.imp-conjL[symmetric])

lemma *Process-eqI* :
assumes *A*: *FAILURES P = FAILURES Q*
assumes *B*: *DIVERGENCES P = DIVERGENCES Q*
shows $(P::'\alpha \text{ process-pre}) = Q$
apply(*insert A B, unfold FAILURES-def DIVERGENCES-def*)
apply(*rule-tac t=P in surjective-pairing[symmetric, THEN subst]*)
apply(*rule-tac t=Q in surjective-pairing[symmetric, THEN subst]*)
apply(*simp*)
done

lemma *process-eq-spec*:
 $((P::'\alpha \text{ process-pre}) = Q) =$
 $(\text{FAILURES } P = \text{FAILURES } Q \wedge \text{DIVERGENCES } P = \text{DIVERGENCES } Q)$
apply(*auto simp: FAILURES-def DIVERGENCES-def*)
apply(*rule-tac t=P in surjective-pairing[symmetric, THEN subst]*)
apply(*rule-tac t=Q in surjective-pairing[symmetric, THEN subst]*)
apply(*simp*)
done

lemma *process-surj-pair*:
 $(\text{FAILURES } P, \text{DIVERGENCES } P) = P$
by(*auto simp: FAILURES-def DIVERGENCES-def*)

lemma *Fa-eq-imp-Tr-eq*:
 $\text{FAILURES } P = \text{FAILURES } Q \implies \text{TRACES } P = \text{TRACES } Q$
by(*auto simp: FAILURES-def DIVERGENCES-def TRACES-def*)

lemma *is-process1*:
 $\text{is-process } P \implies ([], \{\}) \in \text{FAILURES } P$
by(*auto simp: is-process-def*)

lemma *is-process2*:
 $\text{is-process } P \implies \forall s X. (s, X) \in \text{FAILURES } P \longrightarrow \text{front-tickFree } s$
by(*simp only: is-process-spec, metis*)

lemma *is-process3*:
 $\text{is-process } P \implies \forall s t. (s @ t, \{\}) \in \text{FAILURES } P \longrightarrow (s, \{\}) \in \text{FAILURES } P$
by(*simp only: is-process-spec, metis*)

lemma *is-process3-S-pref*:
 $\llbracket \text{is-process } P; (t, \{\}) \in \text{FAILURES } P; s \leq t \rrbracket \implies (s, \{\}) \in \text{FAILURES } P$
by(*auto simp: le-list-def intro: is-process3 [rule-format]*)

lemma *is-process4*:

is-process $P \implies \forall s X Y. (s, Y) \notin \text{FAILURES } P \vee \neg X \subseteq Y \vee (s, X) \in \text{FAILURES } P$

by(*simp only: is-process-spec, simp*)

lemma *is-process4-S*:

$\llbracket \text{is-process } P; (s, Y) \in \text{FAILURES } P; X \subseteq Y \rrbracket \implies (s, X) \in \text{FAILURES } P$

by(*drule is-process4, auto*)

lemma *is-process4-S1*:

$\llbracket \text{is-process } P; x \in \text{FAILURES } P; X \subseteq \text{snd } x \rrbracket \implies (\text{fst } x, X) \in \text{FAILURES } P$

by(*drule is-process4-S, auto*)

lemma *is-process5*:

is-process $P \implies$

$\forall sa X Y.$

$(sa, X) \in \text{FAILURES } P \wedge (\forall c. c \in Y \longrightarrow (sa @ [c], \{\}) \notin \text{FAILURES } P)$

\longrightarrow

$(sa, X \cup Y) \in \text{FAILURES } P$

by(*drule is-process-spec[THEN iffD1],metis*)

lemma *is-process5-S*:

$\llbracket \text{is-process } P; (sa, X) \in \text{FAILURES } P;$

$\forall c. c \in Y \longrightarrow (sa @ [c], \{\}) \notin \text{FAILURES } P \rrbracket$

$\implies (sa, X \cup Y) \in \text{FAILURES } P$

by(*drule is-process5, metis*)

lemma *is-process5-S1*:

$\llbracket \text{is-process } P; (sa, X) \in \text{FAILURES } P; (sa, X \cup Y) \notin \text{FAILURES } P \rrbracket$

$\implies \exists c. c \in Y \wedge (sa @ [c], \{\}) \in \text{FAILURES } P$

by(*erule contrapos-np, drule is-process5-S, simp-all*)

lemma *is-process6*:

is-process $P \implies$

$\forall s X. (s @ [\text{tick}], \{\}) \in \text{FAILURES } P \longrightarrow (s, X - \{\text{tick}\}) \in \text{FAILURES } P$

by(*drule is-process-spec[THEN iffD1], metis*)

lemma *is-process6-S*:

$\llbracket \text{is-process } P; (s @ [\text{tick}], \{\}) \in \text{FAILURES } P \rrbracket \implies$

$(s, X - \{\text{tick}\}) \in \text{FAILURES } P$

by(*drule is-process6, metis*)

lemma *is-process7*:

is-process $P \implies$

$\forall s t. s \notin \text{DIVERGENCES } P \vee$

$\neg \text{tickFree } s \vee$

$\neg \text{front-tickFree } t \vee$

$s @ t \in \text{DIVERGENCES } P$

by(*drule is-process-spec*[*THEN iffD1*], *metis*)

lemma *is-process7-S*:

$\llbracket \text{is-process } P; s : \text{DIVERGENCES } P; \text{tickFree } s; \text{front-tickFree } t \rrbracket$
 $\implies s @ t \in \text{DIVERGENCES } P$

by(*drule is-process7*, *metis*)

lemma *is-process8*:

$\text{is-process } P \implies \forall s X. s \notin \text{DIVERGENCES } P \vee (s, X) \in \text{FAILURES } P$

by(*drule is-process-spec*[*THEN iffD1*], *metis*)

lemma *is-process8-S*:

$\llbracket \text{is-process } P; s \in \text{DIVERGENCES } P \rrbracket \implies (s, X) \in \text{FAILURES } P$

by(*drule is-process8*, *metis*)

lemma *is-process9*:

$\text{is-process } P \implies \forall s. s @ [\text{tick}] \notin \text{DIVERGENCES } P \vee s \in \text{DIVERGENCES } P$

by(*drule is-process-spec*[*THEN iffD1*], *metis*)

lemma *is-process9-S*:

$\llbracket \text{is-process } P; s @ [\text{tick}] \in \text{DIVERGENCES } P \rrbracket \implies s \in \text{DIVERGENCES } P$

by(*drule is-process9*, *metis*)

lemma *Failures-implies-Traces*:

$\llbracket \text{is-process } P; (s, X) \in \text{FAILURES } P \rrbracket \implies s \in \text{TRACES } P$

by(*simp add: TRACES-def*, *metis*)

lemma *is-process5-sing*:

$\llbracket \text{is-process } P; (s, \{x\}) \notin \text{FAILURES } P; (s, \{\}) \in \text{FAILURES } P \rrbracket \implies$
 $(s @ [x], \{\}) \in \text{FAILURES } P$

by(*drule-tac X={}* **in** *is-process5-S1*, *auto*)

lemma *is-process5-singT*:

$\llbracket \text{is-process } P; (s, \{x\}) \notin \text{FAILURES } P; (s, \{\}) \in \text{FAILURES } P \rrbracket$
 $\implies s @ [x] \in \text{TRACES } P$

apply(*drule is-process5-sing*, *auto*)

by(*simp add: TRACES-def*, *auto*)

lemma *front-trace-is-tickfree*:

$\llbracket \text{is-process } P; (t @ [\text{tick}], X) \in \text{FAILURES } P \rrbracket \implies \text{tickFree } t$
apply(*tactic subgoals-tac @{context}* [*front-tickFree*($t @ [\text{tick}]$)] 1)

apply(*erule front-tickFree-implies-tickFree*)

apply(*drule is-process2*, *metis*)

done

lemma *trace-with-Tick-implies-tickFree-front* :
 $\llbracket \text{is-process } P; t @ [\text{tick}] \in \text{TRACES } P \rrbracket \implies \text{tickFree } t$
by(*auto simp: TRACES-def intro: front-trace-is-tickfree*)

1.4 The Abstraction to the process-Type

typedef(*Process*)
 $'\alpha \text{ process} = \{p :: '\alpha \text{ process-pre} . \text{is-process } p\}$
proof –
have $(\{(s, X). s = []\}, \{\}) \in \{p :: '\alpha \text{ process-pre} . \text{is-process } p\}$
by(*simp add: is-process-def front-tickFree-def*
FAILURES-def TRACES-def DIVERGENCES-def)
thus *?thesis* **by** *auto*
qed

constdefs
 $F \quad :: '\alpha \text{ process} \Rightarrow (' \alpha \text{ failure set})$
 $F \ P \quad \equiv \text{FAILURES } (\text{Rep-Process } P)$
 $T \quad :: '\alpha \text{ process} \Rightarrow (' \alpha \text{ trace set})$
 $T \ P \quad \equiv \text{TRACES } (\text{Rep-Process } P)$
 $D \quad :: '\alpha \text{ process} \Rightarrow (' \alpha \text{ divergence})$
 $D \ P \quad \equiv \text{DIVERGENCES } (\text{Rep-Process } P)$
 $R \quad :: '\alpha \text{ process} \Rightarrow (' \alpha \text{ refusal set})$
 $R \ P \quad \equiv \text{REFUSALS } (\text{Rep-Process } P)$

lemma *is-process-Rep* : *is-process* (*Rep-Process* *P*)
apply(*rule-tac P=is-process in CollectD*)
apply(*subst Process-def[symmetric]*)
apply(*simp add: Rep-Process*)
done

lemma *Process-spec: Abs-Process((F P , D P)) = P*
by(*simp add: F-def FAILURES-def D-def*
DIVERGENCES-def Rep-Process-inverse)

theorem *Process-eq-spec*:
 $(P = Q) = (F \ P = F \ Q \wedge D \ P = D \ Q)$
apply(*rule iffI, simp*)
apply(*rule-tac t=P in Process-spec[THEN subst]*)
apply(*rule-tac t=Q in Process-spec[THEN subst]*)
apply *simp*
done

theorem *is-processT*:

$([], \{\}) : F P \wedge$
 $(\forall s X. (s, X) \in F P \longrightarrow \text{front-tickFree } s) \wedge$
 $(\forall s t. (s @ t, \{\}) \in F P \longrightarrow (s, \{\}) \in F P) \wedge$
 $(\forall s X Y. (s, Y) \in F P \wedge (X \subseteq Y) \longrightarrow (s, X) \in F P) \wedge$
 $(\forall s X Y. (s, X) \in F P \wedge (\forall c. c \in Y \longrightarrow ((s @ [c], \{\}) \notin F P)) \longrightarrow (s, X \cup Y) \in F P) \wedge$
 $(\forall s X. (s @ [\text{tick}], \{\}) \in F P \longrightarrow (s, X - \{\text{tick}\}) \in F P) \wedge$
 $(\forall s t. s \in D P \wedge \text{tickFree } s \wedge \text{front-tickFree } t \longrightarrow s @ t \in D P) \wedge$
 $(\forall s X. s \in D P \longrightarrow (s, X) \in F P) \wedge$
 $(\forall s. s @ [\text{tick}] \in D P \longrightarrow s \in D P)$
apply(*simp only: F-def D-def T-def*)
apply(*rule is-process-def [THEN meta-eq-to-obj-eq, THEN iffD1]*)
apply(*rule is-process-Rep*)
done

theorem process-charn:

$([], \{\}) \in F P \wedge$
 $(\forall s X. (s, X) \in F P \longrightarrow \text{front-tickFree } s) \wedge$
 $(\forall s t. (s @ t, \{\}) \notin F P \vee (s, \{\}) \in F P) \wedge$
 $(\forall s X Y. (s, Y) \notin F P \vee \neg X \subseteq Y \vee (s, X) \in F P) \wedge$
 $(\forall s X Y. (s, X) \in F P \wedge (\forall c. c \in Y \longrightarrow (s @ [c], \{\}) \notin F P) \longrightarrow$
 $(s, X \cup Y) \in F P) \wedge$
 $(\forall s X. (s @ [\text{tick}], \{\}) \in F P \longrightarrow (s, X - \{\text{tick}\}) \in F P) \wedge$
 $(\forall s t. s \notin D P \vee \neg \text{tickFree } s \vee \neg \text{front-tickFree } t \vee s @ t \in D P) \wedge$
 $(\forall s X. s \notin D P \vee (s, X) \in F P) \wedge (\forall s. s @ [\text{tick}] \notin D P \vee s \in D P)$

proof –

have $A : !!P. (\forall s t. (s @ t, \{\}) \notin F P \vee (s, \{\}) \in F P) =$
 $(\forall s t. (s @ t, \{\}) \in F P \longrightarrow (s, \{\}) \in F P)$
by *metis*
have $B : !!P. (\forall s X Y. (s, Y) \notin F P \vee \neg X \subseteq Y \vee (s, X) \in F P) =$
 $(\forall s X Y. (s, Y) \in F P \wedge X \subseteq Y \longrightarrow (s, X) \in F P)$
by *metis*
have $C : !!P. (\forall s t. s \notin D P \vee \neg \text{tickFree } s \vee$
 $\neg \text{front-tickFree } t \vee s @ t \in D P) =$
 $(\forall s t. s \in D P \wedge \text{tickFree } s \wedge \text{front-tickFree } t \longrightarrow s @ t \in D P)$
by *metis*
have $D : !!P. (\forall s X. s \notin D P \vee (s, X) \in F P) = (\forall s X. s \in D P \longrightarrow (s, X)$
 $\in F P)$
by *metis*
have $E : !!P. (\forall s. s @ [\text{tick}] \notin D P \vee s \in D P) =$
 $(\forall s. s @ [\text{tick}] \in D P \longrightarrow s \in D P)$
by *metis*
show *?thesis*
apply(*simp only: A B C D E*)
apply(*rule is-processT*)
done

qed

split of *is_processT*:

lemma *is-processT1*: $([], \{\}) \in F P$
by(*simp add: process-cha**rn*)

lemma *is-processT2*:
 $\forall s X. (s, X) \in F P \longrightarrow \text{front-tickFree } s$
by(*simp add: process-cha**rn*)

lemma *is-processT2-TR* : $\forall s. s \in T P \longrightarrow \text{front-tickFree } s$
apply(*simp add: F-def [symmetric] T-def TRACES-def, safe*)
apply (*drule is-processT2[rule-format], assumption*)
done

lemma *is-proT2*:
 $\llbracket (s, X) \in F P; s \neq [] \rrbracket \implies \neg \text{tick mem tl (rev } s)$
apply(*tactic subgoals-tac @{\context} [front-tickFree s] 1*)
apply(*simp add: tickFree-def front-tickFree-def*)
by(*simp add: is-processT2*)

lemma *is-processT3* :
 $\forall s t. (s @ t, \{\}) \in F P \longrightarrow (s, \{\}) \in F P$
by(*simp only: process-cha**rn HOL.nnf-simps(3), simp*)

lemma *is-processT3-S-pref* :
 $\llbracket (t, \{\}) \in F P; s \leq t \rrbracket \implies (s, \{\}) \in F P$
apply(*simp only: le-list-def, safe*)
apply(*erule is-processT3[rule-format]*)
done

lemma *is-processT4* :
 $\forall s X Y. (s, Y) \in F P \wedge X \subseteq Y \longrightarrow (s, X) \in F P$
by(*insert process-cha**rn [of P], metis*)

lemma *is-processT4-S1* :
 $\llbracket x \in F P; X \subseteq \text{snd } x \rrbracket \implies (\text{fst } x, X) \in F P$
apply(*rule-tac Y = snd x in is-processT4[rule-format]*)
apply(*simp add: surjective-pairing[symmetric]*)
done

lemma *is-processT5*:
 $\forall s X Y. (s, X) \in F P \wedge (\forall c. c \in Y \longrightarrow (s @ [c], \{\}) \notin F P) \longrightarrow (s, X \cup Y) \in F P$
by(*simp add: process-cha**rn*)

lemma *is-processT5-S1*:

$\llbracket (s, X) \in F P; (s, X \cup Y) \notin F P \rrbracket \implies \exists c. c \in Y \wedge (s @ [c], \{\}) \in F P$
by(*erule contrapos-np*, *simp add: is-processT5[rule-format]*)

lemma *is-processT5-S2*:

$\llbracket (s, X) \in F P; (s @ [c], \{\}) \notin F P \rrbracket \implies (s, X \cup \{c\}) \in F P$
by(*rule is-processT5[rule-format, OF conjI]*, *metis*, *safe*)

lemma *is-processT5-S2a*:

$\llbracket (s, X) \in F P; (s, X \cup \{c\}) \notin F P \rrbracket \implies (s @ [c], \{\}) \in F P$
apply(*erule contrapos-np*)
apply(*rule is-processT5-S2*)
apply(*simp-all*)
done

lemma *is-processT5-S3*:

assumes *A*: $(s, \{\}) \in F P$
and *B*: $(s @ [c], \{\}) \notin F P$
shows $(s, \{c\}) \in F P$
proof –
 have *C* : $\{c\} = (\{\} \cup \{c\})$ **by** *simp*
 show ?thesis
 by(*subst C*, *rule is-processT5-S2*, *simp-all add: A B*)
qed

lemma *is-processT5-S4*:

$\llbracket (s, \{\}) \in F P; (s, \{c\}) \notin F P \rrbracket \implies (s @ [c], \{\}) \in F P$
by(*erule contrapos-np*, *simp add: is-processT5-S3*)

lemma *is-processT5-S5*:

$\llbracket (s, X) \in F P; \forall c. c \in Y \longrightarrow (s, X \cup \{c\}) \notin F P \rrbracket$
 $\implies \forall c. c \in Y \longrightarrow (s @ [c], \{\}) \in F P$
by(*erule-tac Q = $\forall x. ?Z x$ in contrapos-pp*, *metis is-processT5-S2*)

lemma *is-processT5-S6*:

$([], \{c\}) \notin F P \implies ([c], \{\}) \in F P$
apply(*rule-tac t=[c] and s=[]@[c] in subst, simp*)
apply(*rule is-processT5-S4, simp-all add: is-processT1*)
done

lemma *is-processT6*:

$\forall s X. (s @ [tick], \{\}) \in F P \longrightarrow (s, X - \{tick\}) \in F P$
by(*simp add: process-charn*)

lemma *is-processT7*:
 $\forall s t. s \in D P \wedge tickFree s \wedge front-tickFree t \longrightarrow s @ t \in D P$
by(*insert process-cha*rn[*of P*], *metis*)

lemmas *is-processT7-S* =
 $is-processT7[rule-format, OF conjI[THEN conjI,$
 $THEN conj-commute[THEN iffD1]]]$

lemma *is-processT8*:
 $\forall s X. s \in D P \longrightarrow (s, X) \in F P$
by(*insert process-cha*rn[*of P*], *metis*)

lemmas *is-processT8-S* = *is-processT8*[*rule-format*]

lemma *is-processT8-Pair*: $fst s \in D P \Longrightarrow s \in F P$
apply(*subst surjective-pairing*)
apply(*rule is-processT8-S, simp*)
done

lemma *is-processT9*:
 $\forall s. s @ [tick] \in D P \longrightarrow s \in D P$
by(*insert process-cha*rn[*of P*], *metis*)

lemma *is-processT9-S-swap*: $s \notin D P \Longrightarrow s @ [tick] \notin D P$
by(*erule contrapos-nn, simp add: is-processT9[rule-format]*)

1.5 Some Consequences of the Process Characterization

lemma *no-Trace-implies-no-Failure*:
 $s \notin T P \Longrightarrow (s, \{\}) \notin F P$
by(*simp add: T-def TRACES-def F-def*)

lemmas *NT-NF* = *no-Trace-implies-no-Failure*

lemma *T-def-spec*:
 $T P = \{tr. ? a. a : F P \ \& \ tr = fst a\}$
by(*simp add: T-def TRACES-def F-def*)

lemma *F-T*:
 $(s, X) \in F P \Longrightarrow s \in T P$
by(*simp add: T-def-spec split-def, metis*)

lemma *F-T1*:
 $a \in F P \Longrightarrow fst a \in T P$
by(*rule-tac X=snd a in F-T, simp*)

lemma *T-F*:
 $s \in T\ P \implies (s, \{\}) \in F\ P$
apply(*auto simp: T-def-spec*)
apply(*drule is-processT4-S1, simp-all*)
done

lemmas *is-processT4-empty* [*elim!*]= *F-T* [*THEN T-F*]

lemma *NF-NT*:
 $(s, \{\}) \notin F\ P \implies s \notin T\ P$
by(*erule contrapos-nn, simp only: T-F*)

lemma *is-processT6-S1*:
 $\llbracket tick \notin X; (s @ [tick], \{\}) \in F\ P \rrbracket \implies (s::'a\ event\ list, X) \in F\ P$
by(*subst Diff-triv[of X {tick}, symmetric],*
simp, erule is-processT6[rule-format])

lemmas *is-processT3-ST* = *T-F* [*THEN is-processT3[rule-format, THEN F-T]*]

lemmas *is-processT3-ST-pref* = *T-F* [*THEN is-processT3-S-pref* [*THEN F-T*]]

lemmas *is-processT3-SR* = *F-T* [*THEN T-F* [*THEN is-processT3[rule-format]*]]

lemmas *D-T* = *is-processT8-S* [*THEN F-T*]

lemma *D-T-subset* : $D\ P \subseteq T\ P$ **by**(*auto intro!:D-T*)

lemma *NF-ND* : $(s, X) \notin F\ P \implies s \notin D\ P$
by(*erule contrapos-nn, simp add: is-processT8-S*)

lemmas *NT-ND* = *D-T-subset*[*THEN Set.contra-subsetD*]

lemma *T-F-spec* : $((t, \{\}) \in F\ P) = (t \in T\ P)$
by(*auto simp:T-F F-T*)

lemma *is-processT5-S7*:
 $\llbracket t \in T\ P; (t, A) \notin F\ P \rrbracket \implies \exists x. x \in A \wedge t @ [x] \in T\ P$
apply(*erule contrapos-np, simp*)
apply(*rule is-processT5[rule-format, OF conjI, of - \{\}, simplified]*)
apply(*auto simp: T-F-spec*)
done

lemma *Nil-subset-T*: $\{\} \subseteq T\ P$
by(*auto simp: T-F-spec[symmetric] is-processT1*)

lemma *Nil-elem-T*: $[] \in T\ P$
by(*simp add: Nil-subset-T*[*THEN subsetD*])

lemmas *D-imp-front-tickFree* =
 $is-processT8-S[THEN\ is-processT2[rule-format]]$

lemma *D-front-tickFree-subset* : $D\ P \subseteq Collect\ front-tickFree$
by(*auto simp: D-imp-front-tickFree*)

lemma *F-D-part*:
 $F\ P = \{(s, x). s \in D\ P\} \cup \{(s, x). s \notin D\ P \wedge (s, x) \in F\ P\}$
by(*insert excluded-middle*[*of fst x : D P*], *auto intro: is-processT8-Pair*)

lemma *D-F* : $\{(s, x). s \in D\ P\} \subseteq F\ P$
by(*auto intro: is-processT8-Pair*)

lemma *append-T-imp-tickFree*:
 $\llbracket t @ s \in T\ P; s \neq [] \rrbracket \implies tickFree\ t$
by(*frule is-processT2-TR*[*rule-format*],
simp add: front-tickFree-def tickFree-rev)

lemma *F-subset-imp-T-subset*:
 $F\ P \subseteq F\ Q \implies T\ P \subseteq T\ Q$
by(*auto simp: subsetD T-F-spec*[*symmetric*])

lemmas *append-single-T-imp-tickFree* =
 $append-T-imp-tickFree[of\ -\ [a], simplified]$

lemma *is-processT6-S2*:
 $\llbracket tick \notin X; [tick] \in T\ P \rrbracket \implies ([], X) \in F\ P$
by(*erule is-processT6-S1, simp add: T-F-spec*)

lemma *is-processT9-tick*:
 $\llbracket [tick] \in D\ P; front-tickFree\ s \rrbracket \implies s \in D\ P$
apply(*rule append.simps*(1) [*THEN subst, of - s*])
apply(*rule is-processT7-S, simp-all*)
apply(*rule is-processT9* [*rule-format*], *simp*)
done

lemma *T-nonTickFree-imp-decomp*:
 $\llbracket t \in T\ P; \neg tickFree\ t \rrbracket \implies \exists s. t = s @ [tick]$
by(*auto elim: is-processT2-TR*[*rule-format*] *nonTickFree-n-frontTickFree*)

1.6 Process Approximation is a Partial Ordering, a Cpo, and a Pcpo

The Failure/Divergence Model of CSP Semantics provides two orderings: The *approximation ordering* (also called *process ordering*) will be used for giving semantics to recursion (fixpoints) over processes, the *refinement ordering* captures our intuition that a more concrete process is more deterministic and more defined than an abstract one.

We start with the key-concepts of the approximation ordering, namely the predicates *min_elems* and *Ra* (abbreviating *refusals after*). The former provides just a set of minimal elements from a given set of elements of type-class *ord* ...

```
constdefs min_elems  :: ('s::ord) set  $\Rightarrow$  's set
              min_elems X  $\equiv$  {s  $\in$  X.  $\forall$  t. t  $\in$  X  $\longrightarrow$   $\neg$  (t < s)}
```

... while the second returns the set of possible refusal sets after a given trace *s* and a given process *P*:

```
constdefs Ra          :: [' $\alpha$  process, ' $\alpha$  trace]  $\Rightarrow$  (' $\alpha$  refusal set)
              Ra P s     $\equiv$  {X. (s, X)  $\in$  F P}
```

In the following, we link the process theory to the underlying fixpoint/domain theory of HOLCF by identifying the approximation ordering with HOLCF's pcpo's.

instantiation

```
process :: (type) sq-ord
```

begin

declares approximation ordering \sqsubseteq - also written \ll -.

```
definition le-approx-def : P  $\sqsubseteq$  Q  $\equiv$  D Q  $\subseteq$  D P  $\wedge$ 
              ( $\forall$  s. s  $\notin$  D P  $\longrightarrow$  Ra P s = Ra Q s)  $\wedge$ 
              min_elems (D P)  $\subseteq$  T Q
```

The approximation ordering captures the fact that more concrete processes should be more defined by ordering the divergence sets appropriately. For defined positions in a process, the failure sets must coincide pointwise; moreover, the minimal elements (wrt. prefix ordering on traces, i.e. lists) must be contained in the trace set of the more concrete process.

instance ..

end

lemma le-approx1:

```
P  $\sqsubseteq$  Q  $\implies$  D Q  $\subseteq$  D P
```

```
by(simp add: le-approx-def)
```

lemma *le-approx2*:
 $\llbracket P \sqsubseteq Q; s \notin D\ P \rrbracket \implies (s, X) \in F\ Q = ((s, X) \in F\ P)$
by(*auto simp: Ra-def le-approx-def*)

lemma *le-approx3*:
 $P \sqsubseteq Q \implies \text{min-elems}(D\ P) \subseteq T\ Q$
by(*simp add: le-approx-def*)

lemma *le-approx2T*:
 $\llbracket P \sqsubseteq Q; s \notin D\ P \rrbracket \implies s \in T\ Q = (s \in T\ P)$
by(*auto simp: le-approx2 T-F-spec[symmetric]*)

lemma *le-approx-lemma-F* :
 $P \sqsubseteq Q \implies F\ Q \subseteq F\ P$
apply(*subst F-D-part[of Q], subst F-D-part[of P]*)
apply(*auto simp: le-approx-def Ra-def min-elems-def*)
done

lemma *le-approx-lemma-T*:
 $P \sqsubseteq Q \implies T\ Q \subseteq T\ P$
by(*auto dest!: le-approx-lemma-F simp: T-F-spec[symmetric]*)

lemma *Nil-min-elems* : $\square \in A \implies \square \in \text{min-elems}\ A$
by(*simp add: min-elems-def*)

lemma *min-elems-le-self[simp]* : $(\text{min-elems}\ A) \subseteq A$
by(*auto simp: min-elems-def*)

lemma *min-elems-Collect-ftF-is-Nil* :
 $\text{min-elems}\ (\text{Collect front-tickFree}) = \{\square\}$
apply(*auto simp: min-elems-def le-list-def*)
apply(*drule front-tickFree-chn[THEN iffD1]*)
apply(*auto dest!: tickFree-implies-front-tickFree*)
done

instance
process :: (*type*) *po*
proof
fix $P :: 'a\ \text{process}$
show $P \sqsubseteq P$ **by**(*auto simp: le-approx-def min-elems-def elim: Process.D-T*)
next
fix $P\ Q :: 'a\ \text{process}$

```

assume  $A:P \sqsubseteq Q$  and  $B:Q \sqsubseteq P$  thus  $P = Q$ 
apply(insert  $A[THEN\ le\ approx1]$   $B[THEN\ le\ approx1]$ )
apply(insert  $A[THEN\ le\ approx\ lemma\ F]$   $B[THEN\ le\ approx\ lemma\ F]$ )
by(auto simp: Process-eq-spec)
next
fix  $P\ Q\ R :: '\alpha\ process$ 
assume  $A: P \sqsubseteq Q$  and  $B: Q \sqsubseteq R$  thus  $P \sqsubseteq R$ 
proof –
  have  $C : D\ R \subseteq D\ P$ 
    by(insert  $A[THEN\ le\ approx1]$   $B[THEN\ le\ approx1]$ , auto)
  have  $D : \forall\ s. s \notin D\ P \longrightarrow \{X. (s, X) \in F\ P\} = \{X. (s, X) \in F\ R\}$ 
    apply(rule allI, rule impI, rule set-ext, simp)
    apply(frule  $A[THEN\ le\ approx1]$ , THEN Set.contra-subsetD)
    apply(frule  $B[THEN\ le\ approx1]$ , THEN Set.contra-subsetD)
    apply(drule  $A[THEN\ le\ approx2]$ , drule  $B[THEN\ le\ approx2]$ )
    apply auto
    done
  have  $E : min\text{-}elems\ (D\ P) \subseteq T\ R$ 
    apply(insert  $B[THEN\ le\ approx3]$   $A[THEN\ le\ approx3]$  )
    apply(insert  $B[THEN\ le\ approx\ lemma\ T]$   $A[THEN\ le\ approx1]$  )
    apply(rule subsetI, simp add: min-elems-def, auto)
    apply(case-tac  $x \in D\ Q$ )
    apply(drule-tac  $B = T\ R$  and  $t=x$ 
      in subset-iff[THEN iffD1,rule-format], auto)
    apply(subst  $B\ [THEN\ le\ approx2T]$ ,simp)
    apply(drule-tac  $B = T\ Q$  and  $t=x$ 
      in subset-iff[THEN iffD1,rule-format],auto)
    done
  show ?thesis
  by(insert  $C\ D\ E$ , simp add: le-approx-def Ra-def)
qed
qed

```

At this point, we inherit quite a number of facts from the underlying HOLCF theory, which comprises a library of facts such as `chain`, `directed(sets)`, upper bounds and least upper bounds, etc.

find-theorems *name:Porder is-lub*

Some facts from the theory of complete partial orders:

- `Porder.chainE` : $chain\ ?Y \Longrightarrow ?Y\ ?i \sqsubseteq ?Y\ (Suc\ ?i)$
- `Porder.chain_mono` : $\llbracket chain\ ?Y; ?i \leq ?j \rrbracket \Longrightarrow ?Y\ ?i \sqsubseteq ?Y\ ?j$
- `Porder.directed_chain` : $chain\ ?S \Longrightarrow directed\ (range\ ?S)$
- `Porder.directed_def` :
 $directed\ ?S = ((\exists x. x \in ?S) \wedge (\forall x \in ?S. \forall y \in ?S. \exists z \in ?S. x \sqsubseteq z \wedge y \sqsubseteq z))$

- **Porder.directedD1** : $directed\ ?S \implies \exists z. z \in ?S$
- **Porder.directedD2** :
 $\llbracket directed\ ?S; ?x \in ?S; ?y \in ?S \rrbracket \implies \exists z \in ?S. ?x \sqsubseteq z \wedge ?y \sqsubseteq z$
- **Porder.directedI** : $\llbracket \exists z. z \in ?S; \bigwedge x y. \llbracket x \in ?S; y \in ?S \rrbracket \implies \exists z \in ?S. x \sqsubseteq z \wedge y \sqsubseteq z \rrbracket \implies directed\ ?S$
- **Porder.is_ubD** : $\llbracket ?S <| ?u; ?x \in ?S \rrbracket \implies ?x \sqsubseteq ?u$
- **Porder.ub_rangeI** :
 $(\bigwedge i. ?S\ i \sqsubseteq ?x) \implies range\ ?S <| ?x$
- **Porder.ub_imageD** : $\llbracket ?f\ ' ?S <| ?u; ?x \in ?S \rrbracket \implies ?f\ ?x \sqsubseteq ?u$
- **Porder.is_ub_upward** : $\llbracket ?S <| ?x; ?x \sqsubseteq ?y \rrbracket \implies ?S <| ?y$
- **Porder.is_lubD1** : $?S <<| ?x \implies ?S <| ?x$
- **Porder.is_lubI** : $\llbracket ?S <| ?x; \bigwedge u. ?S <| u \implies ?x \sqsubseteq u \rrbracket \implies ?S <<| ?x$
- **Porder.is_lub_maximal** : $\llbracket ?S <| ?x; ?x \in ?S \rrbracket \implies ?S <<| ?x$
- **Porder.is_lub_lub** : $\llbracket ?S <<| ?x; ?S <| ?u \rrbracket \implies ?x \sqsubseteq ?u$
- **Porder.is_lub_range_shift**:
 $chain\ ?S \implies range\ (\lambda i. ?S\ (i + ?j)) <<| ?x = range\ ?S <<| ?x$
- **Porder.is_ub_lub**: $range\ ?S <<| ?x \implies ?S\ ?i \sqsubseteq ?x$
- **Porder.thelubI**: $?M <<| ?l \implies lub\ ?M = ?l$
- **Porder.unique_lub**: $\llbracket ?S <<| ?x; ?S <<| ?y \rrbracket \implies ?x = ?y$

constdefs $lim\text{-}proc :: ('\alpha\ process)\ set \Rightarrow '\alpha\ process$
 $lim\text{-}proc\ (X) \equiv Abs\text{-}Process\ (INTER\ X\ F, INTER\ X\ D)$

lemma *min-elems2*:
 $\llbracket s \sim: D\ P ; s @ [c] : D\ P ; P << S ; Q << S \rrbracket \implies (s @ [c], \{\}) : F\ Q$
sorry

lemma *ND-F-dir2*:
 $\llbracket s \sim: D\ P ; (s, \{\}) : F\ P ; P << S ; Q << S \rrbracket \implies (s, \{\}) : F\ Q$
sorry

lemma *is-process-REP-LUB*:
assumes *chain*: $chain\ S$

```

shows      is-process(INTER (range S) F, INTER (range S) D)
proof (auto simp: is-process-def)
  show ( $\{\}, \{\}\rangle \in \text{FAILURES } (\bigcap a::\text{nat. } F(S\ a), \bigcap a::\text{nat. } D(S\ a))$ )
    by(auto simp: DIVERGENCES-def FAILURES-def is-processT)
next
  fix s::'a trace fix X::'a event set
  assume (s, X)  $\in (\text{FAILURES } (\bigcap a::\text{nat. } F(S\ a), \bigcap a::\text{nat. } D(S\ a)))$ 
  thus front-tickFree s
    by(auto simp: DIVERGENCES-def FAILURES-def
      intro!: is-processT2[rule-format])
next
  fix s t::'a trace
  assume (s @ t,  $\{\}$ )  $\in \text{FAILURES } (\bigcap a::\text{nat. } F(S\ a), \bigcap a::\text{nat. } D(S\ a))$ 
  thus (s,  $\{\}$ )  $\in \text{FAILURES } (\bigcap a::\text{nat. } F(S\ a), \bigcap a::\text{nat. } D(S\ a))$ 
    by(auto simp: DIVERGENCES-def FAILURES-def
      intro : is-processT3[rule-format])
next
  fix s::'a trace fix X Y::'a event set
  assume (s, Y)  $\in \text{FAILURES } (\bigcap a::\text{nat. } F(S\ a), \bigcap a::\text{nat. } D(S\ a))$  and X
 $\subseteq Y$ 
  thus (s, X)  $\in \text{FAILURES } (\bigcap a::\text{nat. } F(S\ a), \bigcap a::\text{nat. } D(S\ a))$ 
    by(auto simp: DIVERGENCES-def FAILURES-def
      intro: is-processT4[rule-format])
next
  fix s::'a trace fix X Y::'a event  $\Rightarrow$  bool
  assume A:(s, X)  $\in \text{FAILURES } (\bigcap a::\text{nat. } F(S\ a), \bigcap a::\text{nat. } D(S\ a))$ 
  assume B: $\forall c. c \in Y \longrightarrow (s@[c], \{\}) \notin \text{FAILURES } (\bigcap a::\text{nat. } F(S\ a), \bigcap a::\text{nat. } D(S\ a))$ 
  thus (s, X Un Y)  $\in \text{FAILURES } (\bigcap a::\text{nat. } F(S\ a), \bigcap a::\text{nat. } D(S\ a))$ 
    apply(insert Porder.directed-chain[OF chain])
  apply(insert A B, simp add: DIVERGENCES-def FAILURES-def directed-def)
  apply auto
  apply(case-tac ! x. x : (range S) --> (s, X Un Y) : F x, auto)
  apply(case-tac Y={}, auto)
  apply(erule-tac x=x and P= $\lambda x. x \in Y \longrightarrow ?Q\ x$  in allE, auto)
  apply(erule-tac x=a and P= $\lambda a. (s, X) \in F(S\ a)$  in all-dupE, auto)
  apply(erule-tac x=xa and P= $\lambda a. (s, X) \in F(S\ a)$  in all-dupE, auto)
  apply(erule-tac x=aa and P= $\lambda a. (s, X) \in F(S\ a)$  in allE)
  apply(erule-tac x=a in allE)
  apply(erule-tac x=aa in allE)
  apply auto
  apply(erule contrapos-np)back
  apply(frule NF-ND)back

  apply(rule is-processT5[rule-format], auto)
prefer 2
  apply(erule contrapos-np)back
  apply(rule ND-F-dir2) apply assumption
prefer 2 apply assumption apply simp-all

```

apply(*simp-all add: NF-ND ND-F-dir2*)

apply(*case-tac a = aa, simp*)

sorry

next

fix *s::'a trace* **fix** *X::'a event set*
assume (*s @ [tick], {}*) \in *FAILURES* ($\bigcap a::nat. F (S a), \bigcap a::nat. D (S a)$)
thus (*s, X - {tick}*) \in *FAILURES* ($\bigcap a::nat. F (S a), \bigcap a::nat. D (S a)$)
by(*auto simp: DIVERGENCES-def FAILURES-def*
intro! : is-processT6[rule-format])

next

fix *s t ::'a trace*
assume *s : DIVERGENCES* ($\bigcap a::nat. F (S a), \bigcap a::nat. D (S a)$)
and *tickFree s and front-tickFree t*
thus *s @ t* \in *DIVERGENCES* ($\bigcap a::nat. F (S a), \bigcap a::nat. D (S a)$)
by(*auto simp: DIVERGENCES-def FAILURES-def*
intro: is-processT7[rule-format])

next

fix *s::'a trace* **fix** *X::'a event set*
assume *s* \in *DIVERGENCES* ($\bigcap a::nat. F (S a), \bigcap a::nat. D (S a)$)
thus (*s, X*) \in *FAILURES* ($\bigcap a::nat. F (S a), \bigcap a::nat. D (S a)$)
by(*auto simp: DIVERGENCES-def FAILURES-def*
intro: is-processT8[rule-format])

next

fix *s::'a trace*
assume *s @ [tick]* \in *DIVERGENCES* ($\bigcap a::nat. F (S a), \bigcap a::nat. D (S a)$)
thus *s* \in *DIVERGENCES* ($\bigcap a::nat. F (S a), \bigcap a::nat. D (S a)$)
by(*auto simp: DIVERGENCES-def FAILURES-def*
intro: is-processT9[rule-format])

qed

lemmas *Rep-Abs-LUB = Abs-Process-inverse[simplified Process-def,*
simplified, OF is-process-REP-LUB,
simplified]

lemma *F-LUB: chain S $\implies F(\lim\text{-proc}(\text{range } S)) = \text{INTER } (\text{range } S) F$*
by(*simp add: lim-proc-def, subst F-def, auto simp: FAILURES-def Rep-Abs-LUB*)

lemma *D-LUB: chain S $\implies D(\lim\text{-proc}(\text{range } S)) = \text{INTER } (\text{range } S) D$*

by(simp add: lim-proc-def , subst D-def, auto simp: DIVERGENCES-def Rep-Abs-LUB)

lemma *T-LUB*: chain *S* $\implies T(\text{lim-proc}(\text{range } S)) = \text{INTER } (\text{range } S) \ T$
apply(simp add: lim-proc-def , subst T-def)
apply(simp add: TRACES-def FAILURES-def Rep-Abs-LUB)
apply(auto intro: F-T, rule-tac x={ } **in** exI, auto intro: T-F)
done

instance

process :: (type) cpo

proof

fix *S* :: nat \Rightarrow 'α *process*

assume *C*:chain *S* **thus** $\exists \ x. \text{range } S <| x$

proof –

have *lim-proc-is-ub* :range *S* <| lim-proc (range *S*)
apply(insert *C*, simp add: is-ub-def le-approx-def)
apply(rule allI, rule impI)
apply(simp add: F-LUB D-LUB T-LUB Ra-def)
apply(rule conjI, blast)
apply(rule conjI)

find-theorems chain -

sorry

have *lim-proc-is-lub1*:

$\forall \ u. (\text{range } S <| u \longrightarrow D \ u \subseteq D (\text{lim-proc } (\text{range } S)))$
by(auto simp: C D-LUB, frule-tac i=a **in** Porder.ub-rangeD,
auto dest: le-approx1)

have *lim-proc-is-lub2*:

$\forall \ u. \text{range } S <| u \longrightarrow (\forall \ s. s \notin D (\text{lim-proc } (\text{range } S))$
 $\longrightarrow Ra (\text{lim-proc } (\text{range } S)) \ s = Ra \ u \ s)$
apply(auto simp: is-ub-def C D-LUB F-LUB Ra-def INTER-def)
apply(erule-tac x=S x **in** allE, simp add: le-approx2)
apply(erule-tac x=S x **in** all-dupE, erule-tac x=S xb **in** allE, simp

add: le-approx2)

sorry

have *lim-proc-is-lub3*:

$\forall \ u. \text{range } S <| u \longrightarrow \text{min-elems } (D (\text{lim-proc } (\text{range } S))) \subseteq T \ u$
apply(auto simp: is-ub-def C D-LUB F-LUB Ra-def INTER-def)
apply(insert C[THEN Porder.directed-chain])
apply(auto simp: min-elems-def directed-def)

thm tickFree-implies-front-tickFree

sorry

```

show ?thesis
apply(rule-tac x=lim-proc (S ' UNIV) in exI)
apply(simp add: le-approx-def is-lub-def lim-proc-is-ub)
apply(rule allI, rule impI,
      simp add: lim-proc-is-lub1 lim-proc-is-lub2 lim-proc-is-lub3)
done
qed
qed

instance
  process :: (type) pcpo
proof
show  $\exists x::'a \text{ process}. \forall y::'a \text{ process}. x \sqsubseteq y$ 
proof -
  have is-process-witness :
    is-process( $\{(s, X). \text{front-tickFree } s\}, \{d. \text{front-tickFree } d\}$ )
  apply(auto simp:is-process-def FAILURES-def DIVERGENCES-def)
  apply(auto simp: front-tickFree-Nil
    elim!: tickFree-implies-front-tickFree front-tickFree-dw-closed
    front-tickFree-append)
  done
  have bot-inverse :
    Rep-Process(Abs-Process( $\{(s, X). \text{front-tickFree } s\}, \text{Collect front-tickFree}$ ))=
      ( $\{(s, X). \text{front-tickFree } s\}, \text{Collect front-tickFree}$ )
  by(subst Abs-Process-inverse, simp-all add: Process-def is-process-witness)
show ?thesis
apply(rule-tac x=Abs-Process ( $\{(s, X). \text{front-tickFree } s\}, \{d. \text{front-tickFree } d\}$ )
  in exI)
apply(auto simp: le-approx-def bot-inverse Ra-def
      F-def D-def FAILURES-def DIVERGENCES-def)
apply(rule D-imp-front-tickFree, simp add: D-def DIVERGENCES-def)
apply(erule contrapos-np,
      rule is-processT2[rule-format],
      simp add: F-def FAILURES-def)
apply(simp add: min-elems-def front-tickFree-charn, safe)
apply(auto simp: Nil-elem-T nil-less2)
done
qed
qed

```

1.7 Process Refinement is a Partial Ordering

The following type instantiation declares the refinement order $_ \leq _$ written $_ \leq _$. It captures the intuition that more concrete processes should be more deterministic and more defined.


```

instantiation
  process :: (type) ord
begin

definition le-ref-def :  $P \leq Q \equiv D\ Q \subseteq D\ P \wedge F\ Q \subseteq F\ P$ 

definition less-ref-def :  $(P :: 'a\ process) < Q \equiv P \leq Q \wedge P \neq Q$ 

instance ..

end

lemma le-approx-implies-le-ref:
   $(P :: 'a\ process) \sqsubseteq Q \implies P \leq Q$ 
by(simp add: le-ref-def le-approx1 le-approx-lemma-F)

lemma le-ref1:
   $P \leq Q \implies D\ Q \subseteq D\ P$ 
by(simp add: le-ref-def)

lemma le-ref2:
   $P \leq Q \implies F\ Q \subseteq F\ P$ 
by(simp add: le-ref-def)

lemma le-ref2T :
   $P \leq Q \implies T\ Q \subseteq T\ P$ 
by(rule subsetI, simp add: T-F-spec[symmetric] le-ref2[THEN subsetD])

instance process :: (type) order
proof
  fix P Q :: 'a process
  show  $(P < Q) = (P \leq Q \wedge \neg Q \leq P)$  by(auto simp: le-ref-def less-ref-def
    Process-eq-spec)
  next
  fix P :: 'a process
  show  $P \leq P$  by(simp add: le-ref-def)
  next
  fix P Q R :: 'a process
  assume  $A: P \leq Q$  and  $B: Q \leq R$  thus  $P \leq R$ 
  by(insert A B, simp add: le-ref-def, auto)
  next
  fix P Q :: 'a process
  assume  $A: P \leq Q$  and  $B: Q \leq P$  thus  $P = Q$ 
  by(insert A B, auto simp: le-ref-def Process-eq-spec)
qed

```

end

theory *Bot*
imports *Process*
begin

definition *Bot* :: ' α process
where *Bot* \equiv *Abs-Process* ($\{(s, X). \text{front-tickFree } s\}, \{d. \text{front-tickFree } d\}$)

lemma *is-process-REP-Bot* : *is-process* ($\{(s, X). \text{front-tickFree } s\}, \{d. \text{front-tickFree } d\}$)
by(*auto simp: front-tickFree-Nil tickFree-implies-front-tickFree is-process-def FAILURES-def DIVERGENCES-def*
elim: Process.front-tickFree-dw-closed
elim: Process.front-tickFree-append)

lemma *Rep-Abs-Bot* : *Rep-Process* (*Abs-Process* ($\{(s, X). \text{front-tickFree } s\}, \{d. \text{front-tickFree } d\}$)) =
 $(\{(s, X). \text{front-tickFree } s\}, \{d. \text{front-tickFree } d\})$
by(*subst Abs-Process-inverse, simp-all only: CollectI Process-def is-process-REP-Bot*)

lemma *F-Bot*: *F Bot* = $\{(s, X). \text{front-tickFree } s\}$
by(*simp add: Bot-def FAILURES-def F-def Rep-Abs-Bot*)

lemma *D-Bot*: *D Bot* = $\{d. \text{front-tickFree } d\}$
by(*simp add: Bot-def DIVERGENCES-def D-def Rep-Abs-Bot*)

lemma *T-Bot*: *T Bot* = $\{s. \text{front-tickFree } s\}$
by(*simp add: Bot-def TRACES-def T-def FAILURES-def Rep-Abs-Bot*)

axioms
Bot-is-UU : *Bot* = \perp

end

theory *Skip*
imports *Process*

```

begin

constdefs
  SKIP :: 'a process
  SKIP  $\equiv$  Abs-Process ( $\{(s, X). s = [] \wedge tick \notin X\} \cup \{(s, X). s = [tick]\}, \{\}$ )

lemma is-process-REP-Skip:
  is-process ( $\{(s, X). s = [] \wedge tick \notin X\} \cup \{(s, X). s = [tick]\}, \{\}$ )
apply(auto simp: FAILURES-def DIVERGENCES-def front-tickFree-def
               tickFree-Nil HOL.nnf-simps(2) is-process-def)
apply(erule contrapos-np, drule neq-Nil-conv[THEN iffD1], auto)
done

lemma is-process-REP-Skip2:
  is-process ( $\{[]\} \times \{X. tick \notin X\} \cup \{(s, X). s = [tick]\}, \{\}$ )
apply(insert is-process-REP-Skip)
apply auto done

lemmas process-prover = Process-def Abs-Process-inverse
                        FAILURES-def TRACES-def
                        DIVERGENCES-def is-process-REP-Skip

lemma F-SKIP:
  F SKIP =  $\{(s, X). s = [] \wedge tick \notin X\} \cup \{(s, X). s = [tick]\}$ 
by(simp add: process-prover SKIP-def FAILURES-def F-def is-process-REP-Skip2)

lemma D-SKIP: D SKIP =  $\{\}$ 
by(simp add: process-prover SKIP-def FAILURES-def D-def is-process-REP-Skip2)

lemma T-SKIP: T SKIP =  $\{[], [tick]\}$ 
by(auto simp: process-prover SKIP-def FAILURES-def T-def is-process-REP-Skip2)

end

theory Legacy
imports Process
begin

lemmas tF-Nil = tickFree-Nil
lemmas tF-Cons = tickFree-Cons
lemmas NtF-tick = non-tickFree-tick

```

lemmas $tF\text{-rev} = tickFree\text{-rev}$
lemmas $ftF\text{-Nil} = front\text{-tickFree-Nil}$
lemmas $tF\text{-imp-}ftF = tickFree\text{-implies-front-tickFree}$
lemmas $ftF\text{-imp-f-is-}tF = front\text{-tickFree-implies-tickFree}$
lemmas $NtF\text{-ftF-ex} = nonTickFree\text{-n-frontTickFree}$
lemmas $Nconj\text{-eq-disj}N = HOL.nnf\text{-simps}(1)$
lemmas $Ndisj\text{-eq-conj}N = HOL.nnf\text{-simps}(2)$
lemmas $imp\text{-disj} = HOL.nnf\text{-simps}(3)$
lemmas $conj\text{-imp} = HOL.imp\text{-conj}L$
lemmas $Pair\text{-fst-snd-eq} = surjective\text{-pairing}$
lemmas $t\text{-F-T} = Failures\text{-implies-Traces}$
lemmas $f\text{-F-is-}tF = front\text{-trace-is-tickfree}$
lemmas $f\text{-T-is-}tF = trace\text{-with-Tick-implies-tickFree-front}$
lemmas $D\text{-ftF-subset} = D\text{-front-tickFree-subset}$
lemmas $append\text{-T-tF} = append\text{-T-imp-tickFree}$
lemmas $T\text{-tF} = append\text{-single-T-imp-tickFree}$
lemmas $T\text{-tF1} = append\text{-single-T-imp-tickFree}$
lemmas $T\text{-NtF-ex} = T\text{-nonTickFree-imp-decomp}$

lemmas $is\text{-process3-S} = is\text{-process3} [rule\text{-format}]$
lemmas $is\text{-process2-S} = is\text{-process2} [THEN\ spec, THEN\ spec, THEN\ mp]$
lemmas $ProcessT\text{-eqI} = Process\text{-eq-spec}[THEN\ iffD2, OF\ conjI]$
lemmas $is\text{-processT-spec} = process\text{-charn}$
lemmas $is\text{-processT2-TR-S} = is\text{-processT2-TR}[rule\text{-format}]$
lemmas $is\text{-processT2-S} = is\text{-processT2}[rule\text{-format}]$
lemmas $is\text{-processT3-S} = is\text{-processT3}[rule\text{-format}]$
lemmas $is\text{-processT4-S} = is\text{-processT4}[rule\text{-format}]$
lemmas $is\text{-processT5-S} = is\text{-processT5}[rule\text{-format}, OF\ conjI]$
lemmas $is\text{-processT6-S} = is\text{-processT6}[rule\text{-format}]$
lemmas $is\text{-processT9-S} = is\text{-processT9} [rule\text{-format}]$
lemmas $subsetND = Set.contra\text{-subsetD}$
lemmas $D\text{-ftF} = D\text{-imp-front-tickFree}$
lemmas $ftF\text{-imp-f-is-}tF1 = front\text{-tickFree-implies-tickFree}$

lemmas $less\text{-eq-process-def} = Process.le\text{-ref-def}$

lemma $Collect\text{-eq-spec}$:
 $\{x. P\ x\} = \{x. Q\ x\} = (\forall\ x. P\ x = Q\ x)$
by *auto*

lemmas $subset\text{-spec} = subset\text{-iff}[THEN\ iffD1, rule\text{-format}]$

lemmas $rec\text{-ord-implies-ref-ord} = le\text{-approx-implies-le-ref}$

lemmas *process-ref-ord-def* = *Process.le-ref-def*

lemmas *sq-eq-process* = *le-approx-def*
lemmas *process-ord-def* = *sq-eq-process*

lemmas *proc-ord1=le-approx1*
lemmas *proc-ord2=le-approx2*
lemmas *proc-ord3=le-approx3*
lemmas *proc-ord2T=le-approx2T*
lemmas *proc-ord-lemma-F=le-approx-lemma-F*
lemmas *proc-ord-lemma-T=le-approx-lemma-T*

lemmas *le-approx-implies-ref-ord* = *le-approx-implies-le-ref*
lemmas *ref-ord1* = *le-ref1*
lemmas *ref-ord2* = *le-ref2*
lemmas *ref-ord2T* = *le-ref2T*

end

2 The Stop Process Definition

theory *Stop*
imports *Process Legacy*
begin

definition *Stop* :: $'\alpha$ *process*
where $Stop \equiv Abs-Process \ (\{(s, X). s = [], \{\}\})$

lemma *is-process-REP-Stop*: *is-process* $(\{(s, X). s = [], \{\}\})$
by(*simp add: is-process-def FAILURES-def DIVERGENCES-def ftF-Nil*)

lemma *Rep-Abs-Stop* : *Rep-Process* $(Abs-Process \ (\{(s, X). s = [], \{\}\})) = (\{(s, X). s = [], \{\}\})$
by(*subst Abs-Process-inverse, simp add: Process-def is-process-REP-Stop, auto*)

lemma *F-Stop* : *F Stop* = $\{(s, X). s = []\}$
by(*simp add: Stop-def FAILURES-def F-def Rep-Abs-Stop*)

```

lemma D-Stop:  $D \text{ Stop} = \{\}$ 
by(simp add: Stop-def DIVERGENCES-def D-def Rep-Abs-Stop)

lemma T-Stop:  $T \text{ Stop} = \{\}$ 
by(simp add: Stop-def TRACES-def FAILURES-def T-def Rep-Abs-Stop)

end

```

3 The Multi-Prefix Operator Definition

```

theory Mprefix
imports Process Legacy
begin

```

```

definition Mprefix :: ['a set, 'a => 'a process] => 'a process where
  Mprefix A P  $\equiv$  Abs-Process(
     $\{(tr, ref). tr = [] \wedge ref \text{ Int } (ev \text{ ' } A) = \{\}\} \cup$ 
 $\{(tr, ref). tr \neq [] \wedge hd \text{ } tr \in (ev \text{ ' } A) \wedge$ 
 $(\exists a. ev \text{ } a = (hd \text{ } tr) \wedge (tl \text{ } tr, ref) \in F(P \text{ } a))\},$ 
 $\{d. d \neq [] \wedge hd \text{ } d \in (ev \text{ ' } A) \wedge$ 
 $(\exists a. ev \text{ } a = hd \text{ } d \wedge tl \text{ } d \in D(P \text{ } a))\})$ 

```

```

syntax(HOL)
  @mprefix :: [pttrn, 'a set, 'a process] => 'a process (( $\exists [-] - : - \rightarrow -$ ) [0,0,64]64)

```

```

syntax(xsymbol)
  @mprefix :: [pttrn, 'a set, 'a process] => 'a process (( $\exists \square - \in - \rightarrow -$ ) [0,0,64]64)

```

```

translations
   $\square x \in A \rightarrow P == \text{CONST } Mprefix \text{ } A \text{ } (\% x . P)$ 

```

3.1 Well-foundedness of Mprefix

```

lemma is-process-REP-Mp :
  is-process ( $\{(tr, ref). tr = [] \wedge ref \cap (ev \text{ ' } A) = \{\}\} \cup$ 
 $\{(tr, ref). tr \neq [] \wedge hd \text{ } tr \in (ev \text{ ' } A) \wedge$ 
 $(\exists a. ev \text{ } a = (hd \text{ } tr) \wedge (tl \text{ } tr, ref) \in F(P \text{ } a))\},$ 
 $\{d. d \neq [] \wedge hd \text{ } d \in (ev \text{ ' } A) \wedge$ 
 $(\exists a. ev \text{ } a = hd \text{ } d \wedge tl \text{ } d \in D(P \text{ } a))\})$ 
  (is is-process(?f, ?d))
proof (simp only: is-process-def FAILURES-def DIVERGENCES-def
  Product-Type.fst-conv Product-Type.snd-conv,
  intro conjI allI impI)
case goal1
have 1:  $([], \{\}) \in ?f$  by simp

```

```

show ?case by(simp add: 1)
next
case goal2 note asm2 = goal2
{
  fix s:: 'a event list fix X:: 'a event set
  assume H : (s, X) ∈ ?f
  have front-tickFree s
  apply(insert H, auto simp: mem-iff front-tickFree-def tickFree-def
    dest!: list-nonMt-append)
  apply(case-tac ta, auto simp: front-tickFree-chn
    dest! : is-processT2[rule-format])
  apply(simp add: tickFree-def mem-iff)
  done
} note 2 = this
show ?case by(rule 2[OF asm2])
next
case goal3 note asm3 = goal3
{
  fix s t :: 'a event list
  assume H : (s @ t, {}) ∈ ?f
  have (s, {}) ∈ ?f
  using H by(auto elim: is-processT3[rule-format])
} note 3 = this
show ?case by(rule 3[OF asm3])
next
case goal4 note asm4 = goal4
{
  fix s:: 'a event list fix X Y:: 'a event set
  assume H1 : (s, X) ∈ ?f
  assume H2 : X ⊆ Y
  have (s, X) ∈ ?f
  using H1 H2 by(auto intro: is-processT4[rule-format])
} note 4 = this
show ?case by(rule 4 [where Ya2=Y])(simp-all only: asm4)
next
case goal5 note asm5 = goal5
{
  fix s:: 'a event list fix X Y:: 'a event set
  assume H1 : (s, X) ∈ ?f
  assume H2 : ∀ c. c ∈ Y ⟶ (s @ [c], {}) ∉ ?f
  have 5: (s, X ∪ Y) ∈ ?f
  using H1 H2 by(auto intro!: is-processT1 is-processT5[rule-format])
} note 5 = this
show ?case by(rule 5, simp only: asm5,
  rule asm5[THEN conjunct2])
next
case goal6 note asm6 = goal6
{
  fix s:: 'a event list fix X:: 'a event set

```

```

    assume  $H : (s @ [tick], \{\}) \in ?f$ 
    have 6:  $(s, X - \{tick\}) \in ?f$ 
    using  $H$  by(cases  $s$ , auto dest!: is-processT6[rule-format])
  } note 6 = this
  show ?case by(rule 6[OF asm6])
next
  case goal7 note asm7 = goal7
  {
    fix  $s t :: 'a$  event list fix  $X :: 'a$  event set
    assume  $H1 : s \in ?d$ 
    assume  $H2 : tickFree\ s$ 
    assume  $H3 : front-tickFree\ t$ 
    have 7:  $s @ t \in ?d$ 
    using  $H1\ H2\ H3$  by(auto intro!: is-processT7-S, cases  $s$ , simp-all)
  } note 7 = this
  show ?case by(rule 7, insert asm7, auto)
next
  case goal8 note asm8 = goal8
  {
    fix  $s :: 'a$  event list fix  $X :: 'a$  event set
    assume  $H : s \in ?d$ 
    have 8:  $(s, X) \in ?f$ 
    using  $H$  by(auto simp: is-processT8-S)
  } note 8 = this
  show ?case by(rule 8[OF asm8])
next
  case goal9 note asm9 = goal9
  {
    fix  $s :: 'a$  event list
    assume  $H : s @ [tick] \in ?d$ 
    have 9:  $s \in ?d$ 
    using  $H$  apply(auto)
    apply(cases  $s$ , simp-all)
    apply(cases  $s$ , auto intro: is-processT9[rule-format])
    done
  } note 9 = this
  show ?case by(rule 9, rule asm9)
qed

```

lemma Rep-Abs-Mp :

```

assumes  $H1 : f = \{(tr, ref). tr = [] \wedge ref \cap (ev\ 'A) = \{\}\} \cup$ 
           $\{(tr, ref). tr \neq [] \wedge hd\ tr \in (ev\ 'A) \wedge (\exists\ a. ev\ a = (hd\ tr) \wedge (tl$ 
 $tr, ref) \in F(P\ a))\}$ 
and  $H2 : d = \{d. d \neq [] \wedge hd\ d \in (ev\ 'A) \wedge (\exists\ a. ev\ a = hd\ d \wedge tl\ d \in$ 
 $D(P\ a))\}$ 
shows Rep-Process (Abs-Process ( $f, d$ )) = ( $f, d$ )
by(subst Abs-Process-inverse, simp-all only: H1 H2 CollectI Process-def is-process-REP-Mp)

```


3.2 Projections in Prefix

lemma *F-Mprefix* :

$$F(\Box x \in A \rightarrow P x) = \{(tr, ref). tr = [] \wedge ref \cap (ev \text{ ' } A) = \{\}\} \cup \{(tr, ref). tr \neq [] \wedge hd tr \in (ev \text{ ' } A) \wedge (\exists a. ev a = (hd tr) \wedge (tl tr, ref) \in F(P a))\}$$

by(*simp add: Mprefix-def F-def Rep-Abs-Mp FAILURES-def*)

lemma *D-Mprefix*:

$$D(\Box x \in A \rightarrow P x) = \{d. d \neq [] \wedge hd d \in (ev \text{ ' } A) \wedge (\exists a. ev a = hd d \wedge tl d \in D(P a))\}$$

by(*simp add: Mprefix-def D-def Rep-Abs-Mp DIVERGENCES-def*)

lemma *T-Mprefix*:

$$T(\Box x \in A \rightarrow P x) = \{s. s = [] \vee (\exists a. a \in A \wedge s \neq [] \wedge hd s = ev a \wedge tl s \in T(P a))\}$$

by(*auto simp: T-F-spec[symmetric] F-Mprefix*)

3.3 Basic Properties

lemma *tick-T-Mprefix* [*simp*]: $[tick] \notin T(\Box x \in A \rightarrow P x)$

by(*simp add: T-Mprefix*)

lemma *Nil-Nin-D-Mprefix* [*simp*]: $[] \notin D(\Box x \in A \rightarrow P x)$

by(*simp add: D-Mprefix*)

3.4 Proof of Continuity Rule

lemma *proc-ord2a* :

$$\llbracket P \sqsubseteq Q; s \notin D P \rrbracket \implies ((s, X) \in F P) = ((s, X) \in F Q)$$

by(*auto simp: process-ord-def Ra-def*)

lemma *mono-Mprefix1*:

$$\forall a. P a \sqsubseteq Q a \implies D (Mprefix A Q) \subseteq D (Mprefix A P)$$

apply(*auto simp: D-Mprefix*)

apply(*erule-tac x=xa in allE*)

by(*auto elim: proc-ord1 [THEN subsetD]*)

lemma *mono-Mprefix2*:

$$\forall x. P x \sqsubseteq Q x \implies \forall s. s \notin D (Mprefix A P) \longrightarrow Ra (Mprefix A P) s = Ra (Mprefix A Q) s$$

apply(*auto simp: Ra-def D-Mprefix F-Mprefix*)

apply(*erule-tac x = xa in allE, simp add: proc-ord2a*)

done

```

lemma mono-Mprefix3 :
 $\forall x. P\ x \sqsubseteq Q\ x \implies \min\text{-elems}\ (D\ (Mprefix\ A\ P)) \subseteq T\ (Mprefix\ A\ Q)$ 
apply(auto simp: min-elems-def D-Mprefix T-Mprefix image-def)
apply(erule-tac x=xa in allE)
apply(auto simp:min-elems-def dest!: proc-ord3)
sorry

```

```

lemma mono-Mprefix0:
 $\forall x. P\ x \sqsubseteq Q\ x \implies Mprefix\ A\ P \sqsubseteq Mprefix\ A\ Q$ 
apply(simp add: process-ord-def mono-Mprefix1 mono-Mprefix3)
apply(rule mono-Mprefix2)
apply(auto simp: process-ord-def)
done

```

```

lemma mono-Mprefix : monofun(Mprefix A)
by(auto simp: Ffun.less-fun-def monofun-def mono-Mprefix0)

```

```

lemma contlub-Mprefix : contlub(Mprefix A)
apply(auto simp: contlub-def)
sorry

```

```

lemma cont-revert2cont-pointwise:
 $\bigwedge x. cont\ (f\ x) \implies cont\ (\lambda x\ y. f\ y\ x)$ 
sorry

```

```

lemma Mprefix-cont :
 $\bigwedge x. cont((f::('a, 'a\ process] \Rightarrow 'a\ process))\ x) \implies cont(\lambda y. Mprefix\ A\ (\lambda z. f\ z\ y))$ 
apply(rule-tac f = %z y. (f y z) in Cont.cont2cont-compose)
apply(rule Cont.monocontlub2cont)
apply(auto intro: mono-Mprefix contlub-Mprefix cont-revert2cont-pointwise)
done

```

```

lemmas proc-ord1D = proc-ord1 [THEN subsetD]

```

```

lemmas proc-ord2b = proc-ord2a [THEN sym]
lemmas le-fun-def = Ffun.less-fun-def
lemmas cont-compose1 = Cont.cont2cont-compose
lemmas mono-contlub-imp-cont = Cont.monocontlub2cont

```

3.5 High-level Syntax

```

constdefs
  read      :: ['a => 'b, 'a set, 'a => 'b process] => 'b process

```

$read\ c\ A\ P \equiv Mprefix(c\ 'A)\ (P\ o\ (inv\ c))$
 $write\ ::\ ['a=>'b,\ 'a,\ 'b\ process] =>\ 'b\ process$
 $write\ c\ a\ P \equiv Mprefix\ \{c\ a\}\ (\lambda\ x.\ P)$
 $write0\ ::\ ['a,\ 'a\ process] =>\ 'a\ process$
 $write0\ a\ P \equiv Mprefix\ \{a\}\ (\lambda\ x.\ P)$

syntax

$-read\ ::\ [id,\ pttrn,\ 'a\ process] =>\ 'a\ process$
 $((\exists\ 'q'\ ' / \rightarrow -)\ [0,0,28]\ 28)$
 $-readX\ ::\ [id,\ pttrn,\ bool,\ 'a\ process] =>\ 'a\ process$
 $((\exists\ 'q'\ '|\ ' / \rightarrow -)\ [0,0,28]\ 28)$
 $-readS\ ::\ [id,\ pttrn,\ 'b\ set,\ 'a\ process] =>\ 'a\ process$
 $((\exists\ 'q'\ ':\ ' / \rightarrow -)\ [0,0,28]\ 28)$

 $-write\ ::\ [id,\ 'b,\ 'a\ process] =>\ 'a\ process$
 $((\exists\ '!'\ ' / \rightarrow -)\ [0,0,28]\ 28)$
 $-writeS\ ::\ ['a,\ 'a\ process] =>\ 'a\ process$
 $((\exists\ ' / \rightarrow -)\ [0,28]\ 28)$

translations

$-read\ c\ p\ P \quad ==\ CONST\ read\ c\ CONST\ UNIV\ (\%p.\ P)$
 $-write\ c\ p\ P \quad ==\ CONST\ write\ c\ p\ P$
 $-readX\ c\ p\ b\ P \quad ==>\ CONST\ read\ c\ \{p.\ b\}\ (\%p.\ P)$
 $-writeS\ a\ P \quad ==\ CONST\ write0\ a\ P$

end

4 Deterministic Choice Operator Definition

theory *Det*
imports *Process*
begin

definition

$det\ ::\ ['\alpha\ process,\ '\alpha\ process] \Rightarrow\ '\alpha\ process\ \textbf{(infixl}\ [+]\ 18)$
where $P\ [+]\ Q \equiv Abs\text{-}Process(\ \{(s,X).\ s = [] \wedge (s,X) \in F\ P \cap F\ Q\}$
 $\cup \{(s,X).\ s \neq [] \wedge (s,X) \in F\ P \cup F\ Q\}$
 $\cup \{(s,X).\ s = [] \wedge s \in D\ P \cup D\ Q\}$
 $\cup \{(s,X).\ s = [] \wedge tick \notin X \wedge [tick] \in T\ P \cup T\ Q\},$
 $D\ P \cup D\ Q)$

notation(*xsymbol*)
det (**infixl** \sqcap 18)

axioms

F-ndet : $F(P \ [+] \ Q) = \{(s, X). s = \sqcap \wedge (s, X) \in F P \cap F Q\}$
 $\cup \{(s, X). s \neq \sqcap \wedge (s, X) \in F P \cup F Q\}$
 $\cup \{(s, X). s = \sqcap \wedge s \in D P \cup D Q\}$
 $\cup \{(s, X). s = \sqcap \wedge tick \notin X \wedge [tick] \in T P \cup T Q\}$
D-ndet : $D(P \ [+] \ Q) = D P \cup D Q$
T-ndet : $T(P \ [+] \ Q) = T P \cup T Q$
ndet-cont : $\llbracket cont f; cont g \rrbracket \implies cont (\lambda x. f x \ [+] \ g x)$

end

5 Nondeterministic Choice Operator Definition

theory *Ndet*
imports *Process*
begin

definition

ndet :: $['\alpha \text{ process}, 'a \text{ process}] \Rightarrow 'a \text{ process}$ (**infixl** $|-$ 16)
where $P \ |- \ Q \equiv Abs\text{-}Process(F P \cup F Q, D P \cup D Q)$

notation(*xsymbol*)
ndet (**infixl** \sqcap 16)

axioms

F-ndet : $F(P \sqcap Q) = F P \cup F Q$
D-ndet : $D(P \sqcap Q) = D P \cup D Q$
T-ndet : $T(P \sqcap Q) = T P \cup T Q$
ndet-cont : $\llbracket cont f; cont g \rrbracket \implies cont (\lambda x. f x \sqcap g x)$

end

6 The Sequence Operator

theory *Seq*
imports *Process*

begin

constdefs *seq* :: $['\alpha \text{ process}, 'a \text{ process}] \Rightarrow 'a \text{ process}$ (**infixl** $';$ 24)

$$\begin{aligned}
P \text{ '}; \text{' } Q &\equiv \textit{Abs-Process} \\
&\{ (t, X). (t, X \cup \{tick\}) \in F P \wedge tickFree t \} \cup \\
&\{ (t, X). \exists t1 t2. t = t1 @ t2 \wedge t1 @ [tick] \in T P \wedge (t2, \\
X) \in F Q \} \cup \\
&\{ (t, X). \exists t1 t2. t = t1 @ t2 \wedge t1 \in D P \wedge tickFree t1 \wedge \\
front-tickFree t2 \} \cup \\
&\{ (t, X). \exists t1 t2. t = t1 @ t2 \wedge t1 @ [tick] \in T P \wedge t2 \in \\
D Q \}, \\
&\{ t1 @ t2 \mid t1 t2. t1 \in D P \wedge tickFree t1 \wedge front-tickFree \\
t2 \} \cup \\
&\{ t1 @ t2 \mid t1 t2. t1 @ [tick] \in T P \wedge t2 \in D Q \}
\end{aligned}$$

axioms

$$\begin{aligned}
F\text{-seq} : F(P \text{ '}; \text{' } Q) &= \{ (t, X). (t, X \cup \{tick\}) \in F P \wedge tickFree t \} \cup \\
&\{ (t, X). \exists t1 t2. t = t1 @ t2 \wedge t1 @ [tick] \in T P \wedge (t2, \\
X) \in F Q \} \cup \\
&\{ (t, X). \exists t1 t2. t = t1 @ t2 \wedge t1 \in D P \wedge tickFree t1 \wedge \\
front-tickFree t2 \} \cup \\
&\{ (t, X). \exists t1 t2. t = t1 @ t2 \wedge t1 @ [tick] \in T P \wedge t2 \in \\
D Q \}
\end{aligned}$$

$$\begin{aligned}
D\text{-seq} : D(P \text{ '}; \text{' } Q) &= \{ t1 @ t2 \mid t1 t2. t1 \in D P \wedge tickFree t1 \wedge front-tickFree \\
t2 \} \cup \\
&\{ t1 @ t2 \mid t1 t2. t1 @ [tick] \in T P \wedge t2 \in D Q \}
\end{aligned}$$

$$\begin{aligned}
T\text{-seq} : T(P \text{ '}; \text{' } Q) &= \{ t. \exists X. (t, X \cup \{tick\}) \in F P \wedge tickFree t \} \cup \quad (*) \\
\textit{REALLY} ??? * & \\
&\{ t. \exists t1 t2. t = t1 @ t2 \wedge t1 @ [tick] \in T P \wedge t2 \in T Q \} \cup \\
&\{ t1 @ t2 \mid t1 t2. t1 \in D P \wedge tickFree t1 \wedge front-tickFree \\
t2 \} \cup \\
&\{ t1 @ t2 \mid t1 t2. t1 @ [tick] \in T P \wedge t2 \in D Q \}
\end{aligned}$$

$$seq\text{-}cont: \llbracket cont f; cont g \rrbracket \Longrightarrow cont (\lambda x. f x \text{ '}; \text{' } g x)$$

end

7 The Hiding Operator

theory *Hide*
imports *Process*
begin

primrec *trace-hide* :: [*'α trace, ('α event) set*] => *'α trace* **where**
trace-hide [] *A* = []

| $\text{trace-hide } (x \# s) A = (\text{if } x \in A$
 $\text{then trace-hide } s A$
 $\text{else } x \# (\text{trace-hide } s A))$

definition $\text{IsChainOver} :: [\text{nat} \Rightarrow 'a \text{ list}, 'a \text{ list}] \Rightarrow \text{bool}$
 $(\text{infixl } \text{IsChainOver } 70) \text{ where}$
 $f \text{ IsChainOver } t = (f 0 = t \wedge (\forall i. f i < f (\text{Suc } i)))$

definition $\text{CongruentModuloHide} :: [\text{nat} \Rightarrow 'a \text{ trace}, 'a \text{ trace}, 'a \text{ set}] \Rightarrow \text{bool}$
 $(- \text{ Congruent - ModuloHide - } 70) \text{ where}$
 $f \text{ Congruent } t \text{ ModuloHide } A \equiv$
 $\forall i. \text{trace-hide } (f i) (\text{ev } A) = \text{trace-hide } t (\text{ev } A)$

definition

$\text{Hide} :: ['a \text{ process}, 'a \text{ set}] \Rightarrow 'a \text{ process} \quad (- \setminus - [73, 72] \ 72) \text{ where}$
 $P \setminus A \equiv \text{Abs-Process}(\{(s, X). \exists t. s = \text{trace-hide } t (\text{ev } A) \wedge (t, X \cup (\text{ev } A)) \in F$
 $P\} \cup$

$\{(s, X). \exists t u. \text{front-tickFree } u \wedge \text{tickFree } t \wedge$
 $s = \text{trace-hide } t (\text{ev } A) @ u \wedge$
 $(t \in D P \vee (\exists f. (f \text{ IsChainOver } t) \wedge$
 $(f \text{ Congruent } t \text{ ModuloHide } A) \wedge$
 $(\forall i. f i \in T P)))\},$
 $\{s. \exists t u. \text{front-tickFree } u \wedge$
 $\text{tickFree } t \wedge s = \text{trace-hide } t (\text{ev } A) @ u \wedge$
 $(t \in D P \vee (\exists f. (f \text{ IsChainOver } t) \wedge$
 $(f \text{ Congruent } t \text{ ModuloHide } A) \wedge$
 $(\forall i. f i \in T P)))\}$

axioms

$F\text{-Hide} : F(P \setminus A) = \{(s, X). \exists t. s = \text{trace-hide } t (\text{ev } A) \wedge (t, X \cup (\text{ev } A)) \in$
 $F P\} \cup$

$\{(s, X). \exists t u. \text{front-tickFree } u \wedge \text{tickFree } t \wedge$
 $s = \text{trace-hide } t (\text{ev } A) @ u \wedge$
 $(t \in D P \vee (\exists f. (f \text{ IsChainOver } t) \wedge$
 $(f \text{ Congruent } t \text{ ModuloHide } A) \wedge$
 $(\forall i. f i \in T P)))\}$

$D\text{-Hide} : D(P \setminus A) = \{s. \exists t u. \text{front-tickFree } u \wedge \text{tickFree } t \wedge$
 $s = \text{trace-hide } t (\text{ev } A) @ u \wedge$
 $(t \in D P \vee (\exists f. (f \text{ IsChainOver } t) \wedge$
 $(f \text{ Congruent } t \text{ ModuloHide } A) \wedge (\forall i. f i \in T$
 $P)))\}$

$T\text{-Hide} : T(P \setminus A) = \{s. \exists t. s = \text{trace-hide } t (\text{ev } A) \wedge t \in T P\}$

Hide-cont : $\llbracket \text{cont } f; \text{finite } A \rrbracket \implies \text{cont } (\lambda x. f \ x \setminus A)$

lemmas *tr-hide-set-def* = *trace-hide-def*
lemmas *Hide-set-def* = *Hide-def*
lemmas *F-hide-set* = *F-Hide*
lemmas *D-hide-set* = *D-Hide*
lemmas *T-hide-set* = *T-Hide*
lemmas *hide-set-cont* = *Hide-cont*

end

theory *Sync*
imports *Process*
begin

consts *setinterleaving* :: 'a trace \times ('a event) set \times 'a trace \Rightarrow ('a trace) set

recdef *setinterleaving* measure($\lambda(l1, s, l2). \text{size } l1 + \text{size } l2$)

si-empty1: *setinterleaving*($\llbracket \rrbracket$, *X*, $\llbracket \rrbracket$) = $\{\llbracket \rrbracket\}$
si-empty2: *setinterleaving*($\llbracket \rrbracket$, *X*, (*y* # *t*)) =
 (if (*y* \in *X*)
 then $\{\}$
 else $\{z. \exists u. z = (y \# u) \wedge u \in \text{setinterleaving } (\llbracket \rrbracket, X, t)\}$)
si-empty3: *setinterleaving*((*x* # *s*), *X*, $\llbracket \rrbracket$) =
 (if (*x* \in *X*)
 then $\{\}$
 else $\{z. \exists u. z = (x \# u) \wedge u \in \text{setinterleaving } (s, X, \llbracket \rrbracket)\}$)
si-neq : *setinterleaving*((*x* # *s*), *X*, (*y* # *t*)) =
 (if (*x* \in *X*)
 then if (*y* \in *X*)
 then if (*x* = *y*)
 then $\{z. \exists u. z = (x \# u) \wedge u \in \text{setinterleaving } (s, X, t)\}$
 else $\{\}$

$$\begin{aligned} & \text{else } \{z.\exists u. z = (y \# u) \wedge u \in \text{setinterleaving}((x \# s), X, t)\} \\ & \text{else if } (y \notin X) \\ & \text{then } \{z.\exists u. z = (x \# u) \wedge u \in \text{setinterleaving}(s, X, (y \# t))\} \\ & \quad \cup \{z.\exists u. z = (y \# u) \wedge u \in \text{setinterleaving}((x \# s), X, t)\} \\ & \text{else } \{z.\exists u. z = (x \# u) \wedge u \in \text{setinterleaving}(s, X, (y \# t))\} \end{aligned}$$

lemma *sym1* [*simp*]: $\text{setinterleaving}([], X, t) = \text{setinterleaving}(t, X, [])$
by (*induct t, simp-all*)

lemma *sym2* [*simp*]:

$$\forall s. \text{setinterleaving}(s, X, t) = \text{setinterleaving}(t, X, s)$$

$$\longrightarrow \text{setinterleaving}(a \# s, X, t) = \text{setinterleaving}(t, X, a \# s)$$
apply (*induct t*)
apply (*simp-all*)
apply *auto*
apply (*case-tac t, simp*)
sorry

lemma *sym* [*simp*] : $\text{setinterleaving}(s, X, t) = \text{setinterleaving}(t, X, s)$
by (*induct s, simp-all*)

consts *setinterleaves* :: [*'a trace, ('a trace* × *'a trace) × ('a event) set*] \Rightarrow *bool*
(infixl setinterleaves 70)

translations
 $u \text{ setinterleaves } ((s, t), X) == (u \in \text{setinterleaving}(s, X, t))$

definition *sync* :: [*'a process, 'a set, 'a process*] \Rightarrow *'a process*
 $((\lambda - \llbracket - \rrbracket / -) [14, 0, 15] 14)$

where

$$\begin{aligned} P \llbracket A \rrbracket Q == & \text{Abs-Process}(\{(s, R). \exists t u X Y. (t, X) \in F P \wedge (u, Y) \in F Q \wedge \\ & s \text{ setinterleaves } ((t, u), (ev'A) \cup \{tick\}) \wedge \\ & R = (X \cup Y) \cap ((ev'A) \cup \{tick\}) \cup X \cap Y\} \cup \\ & \{(s, R). \exists t u r v. \text{front-tickFree } v \wedge (\text{tickFree } r \vee v = []) \wedge \\ & s = r @ v \wedge \\ & r \text{ setinterleaves } ((t, u), (ev'A) \cup \{tick\}) \wedge \\ & (t \in D P \wedge u \in T Q \vee t \in D Q \wedge u \in T P)\}, \\ & \{s. \exists t u r v. \text{front-tickFree } v \wedge (\text{tickFree } r \vee v = []) \wedge \\ & s = r @ v \wedge \\ & r \text{ setinterleaves } ((t, u), (ev'A) \cup \{tick\}) \wedge \\ & (t \in D P \wedge u \in T Q \vee t \in D Q \wedge u \in T P)\}) \end{aligned}$$

axioms

$$\begin{aligned}
F\text{-sync} & : F(P \parallel A \parallel Q) = \\
& \{(s,R). \exists t u X Y. (t,X) \in F P \wedge \\
& \quad (u,Y) \in F Q \wedge \\
& \quad s \text{ setinterleaves } ((t,u), (ev'A) \cup \{tick\}) \wedge \\
& \quad R = (X \cup Y) \cap ((ev'A) \cup \{tick\}) \cup X \cap Y\} \cup \\
& \{(s,R). \exists t u r v. \text{front-tickFree } v \wedge \\
& \quad (\text{tickFree } r \vee v = []) \wedge \\
& \quad s = r @ v \wedge \\
& \quad r \text{ setinterleaves } ((t,u), (ev'A) \cup \{tick\}) \wedge \\
& \quad (t \in D P \wedge u \in T Q \vee t \in D Q \wedge u \in T P)\} \\
\\
D\text{-sync} & : D(P \parallel A \parallel Q) = \\
& \{s. \exists t u r v. \text{front-tickFree } v \wedge (\text{tickFree } r \vee v = []) \wedge \\
& \quad s = r @ v \wedge r \text{ setinterleaves } ((t,u), (ev'A) \cup \{tick\}) \wedge \\
& \quad (t \in D P \wedge u \in T Q \vee t \in D Q \wedge u \in T P)\} \\
\\
T\text{-sync} & : T(P \parallel A \parallel Q) = \\
& \{s. \forall t u. t \in T P \wedge u \in T Q \wedge \\
& \quad s \text{ setinterleaves } ((t,u), (ev'A) \cup \{tick\})\}
\end{aligned}$$

end

8 Toplevel Theory

```

theory    CSP
imports  Bot Skip Stop Mprefix Det Ndet Seq Hide Sync
begin

```

8.1 Refinement Proof Rules

8.2 The "Laws" of CSP

end

9 Refinement Example with Buffer over infinite Alphabet

```

theory    CopyBuffer
imports  CSP
begin

```

10 Defining the Copy-Buffer Example

```

datatype 'a channel = left 'a | right 'a | mid 'a | ack

```

```

constdefs SYN :: ('a channel) set
where    SYN  $\equiv$  (range mid)  $\cup$  {ack}

constdefs COPY :: ('a channel) process
where    COPY  $\equiv$  ( $\mu$  COPY. left '? 'x  $\rightarrow$  right '! 'x  $\rightarrow$  COPY)

constdefs SEND :: ('a channel) process
where    SEND  $\equiv$  ( $\mu$  SEND. left '? 'x  $\rightarrow$  mid '! 'x  $\rightarrow$  ack  $\rightarrow$  SEND)

constdefs REC :: ('a channel) process
where    REC  $\equiv$  ( $\mu$  REC. mid '? 'x  $\rightarrow$  right '! 'x  $\rightarrow$  ack  $\rightarrow$  REC)

constdefs
  SYSTEM :: ('a channel) process
  SYSTEM  $\equiv$  ((SEND [| SYN |] REC) \ SYN)

```

11 The Standard Proof

end

References

- [1] A. Roscoe. *Theory and Practice of Concurrency*. Prentice Hall, 1998.
- [2] H. Tej and B. Wolff. A corrected failure divergence model for CSP in Isabelle/HOL. In J. S. Fitzgerald, C. B. Jones, and P. Lucas, editors, *Formal Methods Europe (FME)*, volume 1313 of *Lecture Notes in Computer Science*, pages 318–337, Heidelberg, 1997. Springer-Verlag.